



तंत्रशिक्षण संचालनालय, महाराष्ट्र राज्य

३, महापालिका मार्ग, पत्र पेटी क्र.१९६७, मुंबई ४०० ००१.

दूरध्वनी क्र.०२२-६८५९७४७३/४२४/४३४,

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दिनांक : 77 JUL 2022

क्रमांक : ४-४अ/आस्था/कॅस/६ वावेआ/२०२२/**४ २७**

परिपत्रक

- विषय : तंत्र शिक्षण संचालनालयाच्या अधिपत्याखालील शासकीय, अशासकीय अनुदानित स्वायत्त व अस्वायत्त पदवी व पदविका संस्थांमधील अध्यापकांना दि.१७/१०/२०१५ ते ०७/११/२०१५ या कालावधीत ५ व्या वेतन आयोगातील तरतुदीनुसार व दि.०८/११/२०१५ ते १०/०९/२०१९ या कालावधीत ६ व्या वेतन आयोगातील तरतुदीनुसार करीअर ॲडव्हान्समेंट योजनेअंतर्गत (CAS) पदोन्नतीच्या लाभाचे प्रस्ताव सादर करणेबाबत.
- संदर्भ : १) उच्च व तंत्र शिक्षण विभाग, शासन निर्णय क्र.कॅस २०१३/(३२/१३)/तांशि-२,दि.१७/१०/२०१५.
 - २) उच्च व तंत्र शिक्षण विभाग, शासन निर्णय क्र.सावेआ-११११/प्र.क्र.४४/१९/तांशि-२ ,दि.११/०९/२०१९ (पदवी व पदविका)
 - ३) उच्च व तंत्र शिक्षण विभाग शासन निर्णय क्र. एमआयएससी /११११/२८/१६/टीई-२ दि. ०३/०६/२०२२.

तंत्र शिक्षण संचालनालयाच्या अधिपत्याखालील शासकीय, अशासकीय अनुदानित, स्वायत्त व अस्वायत्त पदवी व पदविका संस्थांमधील अध्यापकांना संदर्भिय क्र.१ च्या शासन निर्णयातील निर्देशानुसार ५ व्या वेतन आयोगानुसार करीअर डव्हान्समेंट योजनेअंतर्गत (कॅस) लाभ देण्यासंदर्भातील कार्यवाही करण्यात आलेली आहे.

शासनाने ६ व्या व ७ व्या वेतन आयोगाच्या अनुषंगाने अखिल भारतीय तंत्र शिक्षण परिषदेने वेळोवेळी प्रसिध्द केलेल्या अधिसूचना विचारात घेऊन संदर्भिय क्र.३ चा शासन निर्णय निर्गमित केलेला आहे. सदर शासन निर्णयामधील तरतुदीनुसार करीअर अंडव्हान्समेंट योजनेअंतर्गत आर्थिक लाभ व पदोन्नतीसाठी पात्र ठरविण्यासाठीच्या निकषात बदल झालेले असल्याने, सदर संदर्भ क्र.३ च्या शासन निर्णयात नमूद सर्व बदल विचारात घेऊन त्यानुसार खालील नमुद कालावधीतील पदवी व पदविका संस्थांमधील सदर योजनेंतर्गत पात्र ठरणा-या अध्यापकांचे प्रस्ताव मागविण्यात येत आहेत.

कालावधी	पदवी संस्था	पदविका संस्था
५ वा वेतन आयोगातील	कॅस अंतर्गत वरीष्ठ श्रेणी व निवड श्रेणी	कॅस अंतर्गत अधिव्याख्याता/
तरतुदीनुसार (दि.१७/१०/२०१५ ते	प्रस्ताव तसेच सहाय्यक प्राध्यापक (असुधारीत	कर्मशाळा अधिक्षक यांना वरीष्ठ
૦૭/૧૧/૨૦૧५)	पदनाम) व प्राध्यापक पदावर पदोन्नती प्रस्ताव	श्रेणी व निवड श्रेणी प्रस्ताव
६ वा वेतन आयोगातील तरतुदीनुसार	कॅस अंतर्गत सहाय्यक प्राध्यापक (सुधारित	६ व्या वेतन आयोगातील
(दि.०८/११/२०१५ ते १०/०९/२०१९)	पदनाम) /कर्मशाळा अधिक्षक (रटेज १-२ व	तरतुदीनुसार अधिव्याख्यात्यांना/
	२-३) तसेच सहाय्यक प्राध्यापक / कर्मशाळा	कर्मशाळा अधिक्षक (स्टेज १=२,
	अधिक्षक यांना सहयोगी प्राध्यापक पदावर	स्टेज २-३ आणि स्टेज ३-४) आणि
	पदोन्नती (स्टेज ३-४) आणि सहयोगी	विभाग प्रमुख /अधिव्याख्याता/
	प्राध्यापक यांना प्राध्यापक पदावर पदोन्नती	कर्मशाळा अधिक्षक (स्टेज ४-५)
	(स्टेज ४-५)	

सदर प्रस्तावाकरीता आवश्यक असलेला अर्जाचा विहित नमुना तसेच पदवी व पदविका संस्थांमधील अध्यापकांना भरुन दयावयाचे स्वतंत्र API/PBAS चे प्रपत्र व ५ व्या व ६ व्या वेतन आयोगानुसार पदवी व पदविका संस्थांमधील अध्यापकांना लाभाकरीता / पदोन्नतीकरीता अनुज्ञेय असलेले निकष व एकत्रित विवरणपत्र संचालनालयाच्या संकेत स्थळावर उपलब्ध करुन देण्यात आलेले आहेत. उपरोक्त सर्व दस्तावेज संबंधितांनी संकेत स्थळावरुन उपलब्ध करुन घेऊन संबंधित अध्यापकांनी आवश्यक त्या दस्तावेजासह विहीत सर्व प्रपत्रामधील माहिती भरुन प्रमाणित करुन (Hardcopy) दिनांक २९/०७/२०२२ पूर्वी प्राचार्यांमार्फत संबंधित विभागीय कार्यालयाकडे सादर करावेत. संबंधित विभागीय कार्यालयांनी प्राप्त झालेले प्रस्ताव एकत्रित करुन पदवी संस्थांतील अध्यापकांचे प्रस्ताव कार्यासन क्र. ४ व पदविका संस्थांतील अध्यापकांचे प्रस्ताव कार्यासन क्र. ४ अ यांच्याकडे सादर करावेत.

पदवी व पदविका संस्थांमधील अध्यापकांचे API/PBAS चे प्रपत्र सन २०१४-१५ ते २०१८-१९ या कालावधीतील वर्षनिहाय भरुन सादर करावेत. तसेच अध्यापकांचे यापूर्वी विषयांकित प्रस्ताव सादर केलेले असले तरी, उपरोक्त निर्देशानुसार नव्याने सुधारित प्रस्ताव सादर करावेत. ज्या अध्यापकांचे सुधारित प्रस्ताव प्राप्त होणार नाहीत त्यांच्या प्रकरणी कॅस अंतर्गत लाभ अनुझेय करण्याबाबत विचार करण्यात येणार नाही याची नोंद घ्यावी.

सर्व विभागीय कार्यालयांनी संस्थांकडून प्राप्त झालेले प्रस्ताव दिनांक २५/०७/२०२२ पर्यंत संचालनालयाकडे सादर करावेत.

तसेच पदवी संस्थांमधील अध्यापकांचे प्रस्ताव संचालनालयातील कार्यासन क्र. ४ च्या desk&@dtemaharashtra.gov.in व पदविका संस्थांमधील अध्यापकांचे प्रस्ताव संचालनालयातील कार्यासन क्र. ४अ च्या desk&a@dtemaharashtra.gov.in या ईमेलवर सुध्दा पाठविण्यात यावेत.

(डॉ. अभय वार्य) संचालक, तंत्र शिक्षण, महाराष्ट्र राज्य, मुंबई

प्रत :

- १) मा.प्रधान सचिव, महाराष्ट्र शासन, उच्च व तंत्र शिक्षण विभाग, मंत्रालय, मुंबई यांना माहितीकरीता सविनय सादर.
- २) सहसंचालक, तंत्र शिक्षण विभागीय कार्यालय, मुंबई/ पुणे/ नाशिक/ औरंगाबाद/ अमरावती/ नागपूर यांना माहितीकरीता. तसेच असेही कळविण्यात येते की, सदरचे प्रस्ताव पडताळणी करुन विहीत मुदतीत संचालनालयास सादर करावेत.
- ३) सचिव, महाराष्ट्र राज्य तंत्र शिक्षण मंडळ, मुंबई यांना माहिती व आवश्यक त्या कार्यवाहीकरीता.
- ४) सर्व संचालक /प्राचार्य(स्वायत्त व अस्वायत्त) शासकीय व अशासकीय अनुदानित पदवी व पदविका संस्था याना माहिती व आवश्यक त्या कार्यवाहीकरीता.

Application form, Check List and Details of documents required

for promotion under Career Advancement Scheme

Part A – Application Form

Date

To Hon. Director, Directorate of Technical Education, Maharashtra State, Mumbai

Sub. : Application for promotion under Career Advancement Scheme (CAS)

- Ref.: 1) Govt. Resolution No. CAS-2013/(32/13)/TE-2, dated 17.10.2015
 - 2) Govt. Resolution No. MISC 1111/28/16/TE-2, dated 03-06-2022
 - 3) Office Circular of Directorate of Technical Education, No.4-4a/आस्था/कॅस/6 वावेआ/2022/427, Dated 07.07.2022

Sir,

With reference to the subject cited above, I have carefully read the provisions pertaining to promotions under Career Advancement Scheme spelt out in the Government Resolution cited at Ref. No. 1 and 2 as well as the guidelines issued by Directorate of Technical Education vide Office Circular cited at Ref. No. 3 After reading the same, I hereby apply for promotion under Career Advancement Scheme (CAS) as mentioned below –

(Tick appropriately as per your eligibility for promotion)

Degree level – (CAS promotions as per 5th pay CAS rules) – (Pre-revised designations) (Effective date of promotion during 17-10-2015 to 07-11-2015)

- 1) Lecturer to Lecturer (Senior Scale) (PB3, AGP Rs. 6000 to Rs. 7000) without any approved past service
- 2) Lecturer to Lecturer (Senior Scale) (PB3, AGP Rs. 6000 to Rs. 7000) with approved past service
- 3) Lecturer (Senior Scale) to Lecturer (Selection Grade) (PB3, AGP Rs. 7000 to Rs. 8000)
- 4) Lecturer (Senior Scale) to Assistant Professor (PB3, AGP Rs. 8000 to PB4, AGP Rs. 9000)
- 5) Assistant Professor to Professor (PB4, AGP Rs. 9000 to PB4, AGP Rs. 10000)

Degree level – (CAS promotions as per 6th pay CAS rules) – (Effective date of promotion during 08-11-2015 to 10-09-2019)

- 1) Assistant Professor/WS Stage 1 to Assistant Professor/WS Stage 2 (Level 10 to Level 11) Without any approved past service for consideration
- 2) Assistant Professor/WS Stage 1 to Assistant Professor/WS Stage 2 (Level 10 to Level 11) With approved past service for consideration
- 3) Assistant Professor/WS Stage 2 to Assistant Professor/WS Stage 3 (Level 11 to Level 12)
- 4) Assistant Professor/WS Stage 3 to Associate Professor Stage 4 (Level 12 to Level 13A1)
- 5) Associate Professor Stage 4 to Professor Stage 5 (Level 13Å1 to Level 14)

Diploma level –(CAS promotions as per 5th pay CAS rules) -(Effective date of promotion during 17-10-2015 to 07-11-2015)

- 1) Lecturer to Lecturer (Senior Scale) (PB3, AGP Rs. 6000 to Rs. 7000) without any approved past service for consideration
- 2) Lecturer to Lecturer (Senior Scale) (PB3, AGP Rs. 6000 to Rs. 7000) with approved past service for consideration
- 3) Lecturer (Senior Scale) to Lecturer (Selection Grade) (PB3, AGP Rs. 7000 to Rs. 8000)

Diploma level – (CAS promotions as per 6th pay CAS rules) – (Effective date of promotion during 08-11-2015 to 10-09-2019)

- 1) Lecturer/WS Stage 1 to Lecturer/WS Stage 2 (Level 10 to Level 11) Without any approved past service for consideration
- 2) Lecturer/WS Stage 1 to Lecturer/WS Stage 2 (Level 10 to Level 11) With approved past service for consideration
- 3) Lecturer/WS Stage 2 to Lecturer/WS Stage 3 (Level 11 to Level 12)
- 4) Lecturer/WS Stage 3 to Lecturer Stage 4 (Level 12 to Level 13A1)
- 5) Head/Lecturer Stage 4/WS Stage 4 to Head/Lecturer/WS Stage 5 (Level 13A1 to Level 14)

I have attached self attested copies of all necessary documents in support of my claim with this application. The application form together with all documents in support of the claims made by me, including the PBAS formats required as applicable, have been bound together in a single Spiral Bound volume and all the pages in the volume have been numbered. All the information furnished by me is true to the best of my knowledge and belief. If any information furnished by me is found to be false at a later date, then I shall be solely responsible for its consequences, if any.

I do understand that my application for CAS promotion as requested herein is subject to scrutiny by the Screening Committee appointed by Directorate of Technical Education, Mumbai. Also I do understand that any recommendation of the Screening Committee and the final decision taken by DTE in this regards shall be final and binding upon me.

Thanking you,

Yours faithfully,

Signature of applicant teacher

(Shri/Smt)
Designation
Name of Institute
Region of Institute :

Part B – Check List (Please attach self attested copies of documents wherever asked for)

attached Com	creening hmittee Not OK)
IDetails of appointment to present post (Government / Aided)1Appointment Order / Transfer Order (Please attach copy) Ref. No	
I Details of appointment to present post (Government / Aided) 1 Appointment Order / Transfer Order (Please attach copy) Ref. No	
1 Appointment Order / Transfer Order (Please attach copy) Ref. No. dt. 2 Joining Report (Please attach copy) dt. 3 University Approval letter (Please attach copy) (Required for teachers in Aided Institutes only) eff. No. 4 University Approval period (Please attach copy) (Required for teachers in Aided Institutes only) eff. No.	
(Please attach copy) Ref. No	
Ref. No. dt	
2 Joining Report (Please attach copy) Date of joining	
Date of joining	
3 University Approval letter (Please attach copy) (Required for teachers in Aided Institutes only) Ref. Nodt	
3 University Approval letter (Please attach copy) (Required for teachers in Aided Institutes only) Ref. Nodt	
(Required for teachers in Aided Institutes only) Ref. Nodt	
Ref. Nodt	
4 University Approval period (Please attach copy) (Required for teachers in Aided Institutes only)	
4 University Approval period (Please attach copy) (Required for teachers in Aided Institutes only)	
(Required for teachers in Aided Institutes only)	
From Data to Data	
5 Govt. Resolution/DTE Order about probation	
completion (Please attach copy)	
Ref. No dt	
Details of approved past/previous service to be counted in service period, if app	
(Please mention only the service period approved by concerned University/Aut	hority)
1 Appointment Order / Transfer Order	
(Please attach copy)	
Ref. No dt	
2 Joining Report (Please attach copy)	
Date of joining	
3 University/Authority Approval letter/s and	
Approval period/s(Required for teachers in Aided	
Institutes only)(Please attach copies)	
Ref. Nodt	
From Date to Date	
Ref. Nodt	
From Doto to Doto	
From Date to Date	
Ref. Nodt	
From Date to Date	

Sr. No.	Particulars of documents	Whether copy is attached (Yes/No)	Page No./s	Verification by Screening Committee (OK/Not OK)
	Educational Qualifications in the	e relevant dis	cipline/branch	1
1	UG Degree (Please attach copy of final mark sheet and Degree Certificate) Date of declaration of final result			
2	PG Degree (Please attach copy of final mark sheet and Degree Certificate) Date of declaration of final result			
3	M.Phil. (Please attach copy of final mark sheet and Degree Certificate) Date of declaration of final result			
4	Ph.D. (Please attach copy of University Notification about successful open defense and Degree Certificate) Date of declaration of result as per University Notification is			

II. Details of Short Term Training Programmes (STTPs)/ Faculty Development Programmes (FDPs) of not less than one week duration, relevant to discipline/branch/subject, attended while working on present post/after last promotion-(Please mention only the STTPs/FDPs approved by Central/State Govt. bodies mentioned in the eligibility criteria)(Please attach copy of participation Certificate)

Sr. No.	Duration o attended	f STTP/FDP	Sponsored /Approved by	Whether Certificate	Page No./s	Verification by Screening
	dd-mm-yyyy	dd-mm-yyyy		copy is attached (Yes/No)		Committee (OK/Not OK)
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						

III. Details of International/National level Seminars/Conferences attended, relevant to discipline/branch/subject, while working on present post/after last promotion – (Please attach copy of attendance certificate)

Sr. No.	/Conference at		Sponsored /Organized by	Whether Certificate	Page No./s	Verification by Screening
	dd-mm-yyyy	dd-mm-yyyy		copy is attached (Yes/No)		Committee (OK/Not OK)
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						

IV. Details of research papers published in Journals – (Please attach copy of paper published)

Sr. No.	Title of paper published	Name of Journal	Whether copy of paper is attached (Yes/No)	Page No./s	Verification by Screening Committee (OK/Not OK)
1					
2					
3					
4					
5					
6					
7					

Sr. No.	Title of paper published	Name of Journal	Whether copy of paper is attached (Yes/No)	Page No./s	Verification by Screening Committee (OK/Not OK)
8					
9					
10					

V. Details of Annual Confidential Reports – (Please attach self attested copies of reviewed ACRs from 2009-10 to 2018-19)(ACRs which have not been reviewed by the Reviewing Authority will not be considered)

(ACRs are normally required for last five years prior to the proposed date of promotion. However, if the Average ACR Grade for the last five years falls short of the required Grade, then Grading of the next years will be taken into consideration, till 2018-19, and promotion will be recommended from a later date on which the criteria of Average ACR Grade for the last five years gets fulfilled. All the applicant teachers are informed to attach copies of ACR for the period accordingly. However, attaching copies of ACRs for the entire duration 2009-10 to 2018-19 is advisable)

Sr.No.	Year	ACR Grade given by Reviewing Officer	Whether copy of ACR is attached (Yes/No)	Page No./s	Verification by Screening Committee (OK/Not OK)
1	2009-10				
2	2010-11				
3	2011-12				
4	2012-13				
5	2013-14				
6	2014-15				
7	2015-16				
8	2016-17				
9	2017-18				
10	2018-19				

Signature of applicant teacher

(Shri./Smt.....)

Place and date

Application for CAS promotion - Page 6 of 8

Forwarding by Head of Department and	Head of Institution			
We hereby forward the application for CAS promotion of Shri./Sm	nt			
working asin this Institute for further necessary action. It is hereby certified that the information furnished by the applicant is as per the records available in our office. The application form together with all documents in support of the claims made by applicant teacher including the PBAS formats required if applicable have been bound together in a single Spiral Bound volume and all the pages in the volume have been numbered serially.				
Name and Signature of Head of Department	Name and Signature of Head of Institution			
Office Seal				
Place and date	Place and date			
Remarks of Scrutiny Comr	nittee			
We have carefully verified all the documents submitted by the applicant After carefully considering the Eligibility Criteria as well as the document claim, he/she is for CAS promotion to next Stage (Please write Recommended / Not Recommended and the date of eligib Additional remarks if any –	ts attached by him/her in support of his/her ge with effect from Date oility)			
Signatures and Names of Scrutiny Comm	nittee members			

Part C – Details of documents required

Sr.No.	Application for promotion	Documents to be attached with application form
1	CAS promotion as per 5 th pay CAS rules (effective date of promotion falling during the period 17-10-2015 to 07-11-2015)	Self attested copies of all supporting documents mentioned in the application form
2	CAS promotion as per 6 th pay CAS rules (effective date of promotion falling during the period 08-11-2015 to 10-09-2019)	1) Self attested copies of all supporting documents mentioned in the application form 2) Duly Filled and signed PBAS format to be attached as per requirement mentioned below – Effective date of PBAS format promotion requirement 08-11-2015 to 01-04-2014 to 31-03-2016 (31-03-2015 (1 year)) 01-04-2016 to 01-04-2014 to 31-03-2017 (3 years) 01-04-2017 to 01-04-2014 to 31-03-2018 (3 years)) 01-04-2018 to 01-04-2014 to 31-03-2019 (3 years)) 01-04-2019 to 01-04-2014 to 10-09-2019 (5 years)

Minimum Requirements for promotion of teachers in Degree level Institute (Applicable for proposed effective date of promotion falling during the period 17-10-2015 to 07-11-2015)

Note: For the period 17-10-2015 to 07-11-2015, promotion to Senior Scale corresponds to rise in Pay Scale from Pay Band of Rs. 15300-39100, AGP Rs. 6000 to AGP Rs. 7000, promotion from Senior Scale to Selection Grade corresponds to rise in Pay Scale from Pay Band of Rs. 15300-39100, AGP Rs. 7000 to AGP Rs. 8000, promotion from Selection Grade to Assistant Professor corresponds to rise in Pay Scale from Pay Band of Rs. 15300-39100, AGP Rs. 8000 to Pay Band of Rs. 37400-67000, AGP Rs. 9000 and promotion from Assistant Professor to Professor corresponds to rise in Pay Scale from Pay Band of Rs. 37400-67000, AGP Rs. 9000 and promotion from Assistant Professor to Professor corresponds to rise in Pay Scale from Pay Band of Rs. 37400-67000, AGP Rs. 9000 to AGP Rs. 10000. (Designations indicated in the Table below are Pre-revised designations)

Sr.	Promotion	Service and Qualification	Minimum Academic Performance Requirements, Annual Confidential Report (ACR) grading and Screening/ Selection Criteria
No.	through CAS	Requirements	
1	Lecturer/Workshop Superintendent to Lecturer (Senior Scale)/Workshop Superintendent (Senior Scale)	 (1) Lecturer/ Workshop Superintendent completed six years of service, with relaxation of one year and two years respectively, for those with M.Phil./ M.E./M.Tech. and Ph.D. (2) Government sanction for continuation in service after successful completion of probation period (DTE Office order about completion of probation period in case of teachers of Non- Government Aided institutes) 	 Participated in summer/winter schools of total duration of four weeks, or engaged in other appropriate continuing education programmes of comparable quality as may be specified or approved by the All India Council for Technical Education (AICTE) Minimum average ACR grade "B" (Satisfactory) for last five years Screening cum verification process by a Committee constituted by DTE Office, Mumbai for recommending promotion

Sr. No.	Promotion through CAS	Service and Qualification Requirements	Minimum Academic Performance Requirements, Annual Confidential Report (ACR) grading and Screening/ Selection Criteria
2	Lecturer (Senior Scale)/Workshop Superintendent (Senior Scale) to Lecturer (Selection Grade)/Workshop Superintendent (Selection Grade)	Lecturer (Senior Scale)/Workshop Superintendent (Senior Scale) completed five years of service in Senior Scale	 After placement in the Senior Scale participated in summer/winter schools of total duration of four weeks, or engaged in other appropriate continuing education programmes of comparable quality as may be specified or approved by the All India Council for Technical Education (AICTE) Minimum average ACR grade "B" (Satisfactory) for last five years Screening cum verification process by a Committee constituted by DTE Office, Mumbai for recommending promotion
3	Lecturer (Senior Scale)/Workshop Superintendent (Senior Scale) to Assistant Professor	 Lecturer (Senior Scale)/Workshop Superintendent (Senior Scale) completed five years of service in Senior Scale Teacher should be Ph.D. qualified 	 After placement in the Senior Scale participated in summer/winter schools of total duration of four weeks, or engaged in other appropriate continuing education programmes of comparable quality as may be specified or approved by the All India Council for Technical Education (AICTE) Minimum average ACR grade "B+" (Positively Good) for last five years Screening cum verification process by a Committee constituted by DTE Office, Mumbai for recommending promotion
4	Assistant Professor to Professor	 Assistant Professor completed eight years of service Teacher should be Ph.D. qualified 	 At least four papers in Journals required (After placement in the Assistant Professor cadre, participated in summer/winter schools of total duration of four weeks, or engaged in other appropriate continuing education programmes of comparable quality as may be specified or approved by the All India Council for Technical Education (AICTE)) OR(Participated in at least four Seminars/Conferences at National or International level) Significant contribution to teaching/academic environment/institutional corporate life, adequate Extension and Field Outreach activities and development of course material/monographs Minimum average ACR grade "B+"(Positively Good) for last five years Selection Committee process (Interview) by a Committee constituted by DTE Office, Mumbai for recommending promotion

Minimum Requirements for promotion of teachers in Diploma level Institutes (Applicable for proposed effective date of promotion falling during the period 17-10-2015 to 07-11-2015)

Note: For the period 17-10-2015 to 07-11-2015, promotion to Senior Scale corresponds to rise in Pay Scale from Pay Band of Rs. 15300-39100, AGP Rs. 6000 to AGP Rs. 7000 and promotion from Senior Scale to Selection Grade corresponds to rise in Pay Scale from Pay Band of Rs. 15300-39100, AGP Rs. 7000 to AGP Rs. 8000

Sr.	Promotion	Service and Qualification	Minimum Academic Performance Requirements, Annual Confidential Report (ACR)
No.	through CAS	Requirements	grading and Screening/ Selection Criteria
1	Lecturer/Workshop Superintendent to Lecturer (Senior Scale)/Workshop Superintendent (Senior Scale)	 (1) Lecturer/ Workshop Superintendent completed six years of service, with relaxation of one year and two years respectively, for those with M.Phil./ M.E./M.Tech. and Ph.D. (2) Government sanction for continuation in service after successful completion of probation period (DTE Office order about completion of probation period in case of teachers of Non- Government Aided institutes) 	 Participated in summer/winter schools or orientation course/induction training/industrial training of total duration of eight weeks, or engaged in other appropriate continuing education programmes of comparable quality as may be specified or approved by the All India Council for Technical Education (AICTE). Those with Ph.D. degree would be exempted from these course/training requirements. Minimum average ACR grade "B" (Satisfactory) for last five years Screening cum verification process by a Committee constituted by DTE Office, Mumbai for recommending promotion

Sr.	Promotion	Service and Qualification	Minimum Academic Performance Requirements, Annual Confidential Report (ACR)
No.	through CAS	Requirements	grading and Screening/ Selection Criteria
2	Lecturer (Senior Scale)/Workshop Superintendent (Senior Scale) to Lecturer (Selection Grade)/Workshop Superintendent (Selection Grade)	 Lecturer (Senior Scale)/Workshop Superintendent (Senior Scale) completed five years of service in Senior Scale Teacher should have Master's degree. 	 Minimum average ACR grade "B" (Satisfactory) for last five years Screening cum verification process by a Committee constituted by DTE Office, Mumbai for recommending promotion

Minimum Requirements for promotion of teachers in Degree level Institutes (Applicable for proposed effective date of promotion falling during the period 08-11-2015 to 10-09-2019)

Note 1: For the period 08-11-2015 to 31-12-2015, Stage 1 corresponds to Pay Band of Rs. 15300-39100 with AGP of Rs. 6000, Stage 2 corresponds to Pay Band of Rs. 15300-39100 with AGP of Rs. 7000 (Senior Scale), Stage 3 corresponds to PayBand of Rs. 15300-39100 with AGPof Rs. 8000 (Selection Grade), Stage 4 corresponds to Pay Band of Rs. 37400-67000 with AGP of Rs. 9000 and Stage 5 corresponds to Pay Band of Rs. 37400-67000 with AGPof Rs. 10000
 Note 2 :For the period01-01-2016 to 10-09-2019, Stage 1 corresponds to Level 10, Stage 2 corresponds to Level 11, Stage 3 corresponds to Level 12, Stage 4 corresponds to Level 13A1 and Stage 5 corresponds to Level 14.

SI.	Promotion	Service and Qualification	Minimum Academic Performance Requirements, Annual Confidential Report (ACR) grading and Screening/ Selection Criteria
No.	through CAS	Requirements	
1.	Assistant Professor / Workshop Superintendent from Stage 1 to Stage 2	 Assistant Professor / Workshop Superintendent in Stage 1 and completed four years of service with Ph.D.or five years of service who are with M.Phil./PG Degree in professional courses or six years of service who are without Ph.D./M.Phil./PG Degree in Professional Courses Government sanction for continuation in service after successful completion of probation period (DTE Office order about completion of probation period in case of teachers of Non- Government Aided institutes) 	 (ii) Co-curricular, extension and profession related activities (Category II) – 15/year (iii) Minimum total average annual score under Categories I and II – 100/year (iv) Research and academic contribution (Category III) – 5/year (20/assessment period) (Relaxation in API score shall be applicable for teachers on deputation to Central/State Govt. bodies as per Sr. No. 50 of Annexure I of GR dt. 03-06-2022, on production of documentary evidence about of such deputation) (2) Completed Short Term Training Programmes / Faculty Development Programmes of total minimum four weeks duration OR minimum four number of at least one-week duration Short Term Training Programmes / Faculty Development Programmes, approved or conducted by AICTE /Central Govt. /State Govt. /TEQIP /CIILP /ISTE /NITTR /IIT /DTE /SBTE /University / UGC, PMMMNMTT, IISc, CoA, IIA, SPA, ITPI, NRCs, ARPITs / Research Organizations / other institutes of national importance of the state of t

SI.	Promotion	Service and Qualification	Minimum Academic Performance Requirements, Annual Confidential Report (ACR) grading and Screening/ Selection Criteria
No.	through CAS	Requirements	
2.	Assistant Professor / Workshop Superintendent from Stage 2 to Stage 3	 Assistant Professor / Workshop Superintendent with completed service of five years in Stage 2(completed service of four years in Stage 2, only for those teachers who complete PhD during Senior to Selection Grade) Teachers in Humanities subjects (such as Physics, Chemistry, Mathematics and English/Communication Skill)should be Ph.D. qualified For the teachers who were already placed in pre- revised Senior Scale Rs. 10000-325-15200 prior to 01-01-2006, the period of five years shall be counted from the date of their placement in the in pre- revised Senior Scale Rs. 10000-325-15200. In other words, the service period rendered in pre-revised Senior Scale Rs. 10000- 325-15200 shall be counted for upward movement from Stage 2 to Stage 3. 	 Minimum API scores using PBAS proforma as stipulated by AICTE - Teaching, learning and evaluation related activities (Category I) – 75/year Co-curricular, extension and profession related activities (Category II) – 15/year Minimum total average annual score under Categories I and II – 100/year Research and academic contribution (Category III) – 10/year (50/assessment period) Relaxation in API score shall be applicable for teachers on deputation to Central/State Govt. bodies as per Sr. No. 50 of Annexure I of GR dt. 03-06-2022, on production of documentary evidence about of such deputation) Completed Short Term Training Programmes / Faculty Development Programmes of total minimum two weeks duration OR minimum two number of at least one- week duration Short Term Training Programmes / Faculty Development Programmes, approved or conducted by AICTE /Central Govt. /State Govt. /TEOIP /CILP /ISTE /NITTR /IIT /DTE /SBTE /University / UGC, PMMMNMMTT, IISc, CoA, IIA, SPA, ITPI, NRCs, ARPITs / Research Organizations / other institutes of national importance /design studio, while working at Stage 2. Minimum average ACR grade "A" for last five years (4) Screening cum verification process by a Committee constituted by DTE Office, Mumbai for recommending promotion

SI.	Promotion	Service and Qualification	Minimum Academic Performance Requirements, Annual Confidential Report (ACR) grading and Screening/ Selection Criteria
No.	through CAS	Requirements	
3	Assistant Professor (Stage3) / Workshop Superintendent (Stage 3) to Associate Professor (Stage4)	 Assistant Professor / Workshop Superintendent with three years of completed service in Stage 3 Teacher should be Ph.D. qualified 	 Minimum API scores using PBAS proforma as stipulated by AICTE - Teaching, learning and evaluation related activities (Category I) – 75/year Co-curricular, extension and profession related activities (Category II) – 15/year Minimum total average annual score under Categories I and II – 100/year Minimum total average annual score under Categories I and II – 100/year Research and academic contribution (Category III) – 15/year Research and academic contribution to sub applicable for teachers on deputation to Central/State Govt. bodies as per Sr. No. 50 of Annexure I of GR dt. 03-06-2022, on production of documentary evidence about of such deputation) At least three publications in the entire period as Assistant Professor, however, an exemption of one publication will be given to M.Phil. holders and an exemption of two publications will be given to Ph.D holders Completed minimum one number of at least one-week dur

SI.	Promotion	Service and Qualification	Minimum Academic Performance Requirements, Annual Confidential Report (ACR) grading and Screening/ Selection Criteria
No.	through CAS	Requirements	
4	Associate Professor (Stage 4) / Workshop Superintendent (Stage 4) to Professor (Stage 5)	 Associate Professor / Workshop Superintendent with three years of completed service in Stage 4 Teacher should have been Ph.D. qualified at the time of CAS promotion to Stage 4 or at the time of direct entry as Associate Professor in Stage 4 Teacher should have been duly promoted to Stage 4 under CAS after fulfillment of conditions prescribed by AICTE, or should have been directly recruited at Stage 4 as Associate Professor 	 Minimum API scores using PBAS proforma as stipulated by AICTE - Teaching, learning and evaluation related activities (Category I) – 75/year Co-curricular, extension and profession related activities (Category II) – 15/year Minimum total average annual score under Categories I and II – 100/year Minimum total average annual score under Categories I and II – 100/year Research and academic contribution (Category III) – 20/year

Minimum Requirements for promotion of teachers in Diploma level Institutes (Applicable for proposed effective date of promotion falling during the period 08-11-2015 to 10-09-2019)

Note 1: For the period 08-11-2015 to 31-12-2015, Stage 1 corresponds to Pay Band of Rs. 15300-39100 with AGP of Rs. 5400/6000, Stage 2 corresponds to Pay Band of Rs. 15300-39100 with AGP of Rs. 7000 (Senior Scale), Stage 3 corresponds to Pay Band of Rs. 15300-39100 with AGP of Rs. 8000 (Selection Grade), Stage 4 corresponds to Pay Band of Rs. 37400-67000 with AGP of Rs. 9000 and Stage 5 corresponds to Pay Band of Rs. 37400-67000 with AGP of Rs. 10000
 Note 2 :For the period01-01-2016 to 10-09-2019, Stage 1 corresponds to Level 10, Stage 2 corresponds to Level 11, Stage 3 corresponds to Level 12, Stage 4 corresponds to Level 13A1 and Stage 5 corresponds to Level 14.

SI. No.	Promotion through CAS	Service and Qualification Requirements	Minimum Academic PerformanceRequirements, Annual Confidential Report (ACR) grading and Screening/ Selection Criteria
1.	Lecturer / Workshop Superintendent (Incumbents and new entrants) (Stage 1)	Teachers already in service as on 01-01-2006 having B.E./B.Tech. qualification in appropriate branch/discipline AND Teachers newly recruited after 01-01-2006 having B.E./B.Tech. qualification in appropriate branch/discipline at the time of entry in service	 Such teachers already in service on 01-01-2006 shall be placed in Pay Band Rs. 15300-39100 with AGP of Rs. 5400 on 01-01-2006. Such teachers newly recruited after 01-01-2006 shall be placed in Pay Band Rs. 15300-39100 with AGP of Rs. 5400 on their date of entry in service. They shall be eligible to move from AGP Rs. 5400 to AGP Rs. 6000 on completion of Master's qualification in appropriate branch/discipline. Completion of Master's qualification shall be duly verified by Principal of the Institute and financial benefit (increase in AGP from Rs. 5400 to Rs. 6000) shall be sanctioned by him at the Institute level. Teachers having ME/MPhil/PhD degree in relevant discipline and already in service on 01-01-2006 shall be placed in Pay Band Rs. 15300-39100 with AGP of Rs. 6000 on 1-01-2006 at the Institute level. Teachers having ME/MPhil/PhD degree in relevant discipline and entering the teaching profession newly after 01-01-2006, shall be placed in Pay Band Rs. 15300-39100 with AGP of Rs. 6000 on their date of entry in service at the Institute level.

SI. No.	Promotion through CAS	Service and Qualification Requirements	Minimum Academic PerformanceRequirements, Annual Confidential Report (ACR) grading and Screening/ Selection Criteria
2.	Lecturer / Workshop Superintendent from Stage 1 to Stage 2	 (1) Lecturer/ Workshop Superintendent in Stage 1 and completed four years of service withPh.D.orfiveyear sof service who are with M.Phil./PG Degree in professionalcourseso rnineyears of service whoare without Ph.D. or a Master's degree in the relevant branch/discipline (2) Government sanction for continuation in service after successful completion of probation period (DTE Office order about completion of probation period in case of teachers of Non- Government Aided institutes) 	 (i) Teaching, learning and evaluation related activities (Category I) – 75/year (ii) Co-curricular, extension and profession related activities (Category II) – 15/year (iii) Minimum total average annual score under Categories I and II – 100/year (iv) Research and academic contribution (Category III) – 10/year (40/assessment period) (Relaxation in API score shall be applicable for teachers on deputation to Central/State Govt. bodies as per Sr. No. 50 of Annexure I of GR dt. 03-06-2022, on production of documentary evidence about of such deputation) (2) Completed Short Term Training Programmes / Faculty Development Programmes of total minimum four weeks duration OR minimum four number of at least one-week duration Short Term Training Programmes / Faculty Development Programmes, approved or conducted by AICTE /Central Govt. /State Govt. /TEQIP /CIILP /ISTE /NITTTR /IIT /DTE /SBTE /University / UGC, PMMMNMTT, IISc, CoA, IIA, SPA, ITPI, NRCs, ARPITs / Research Organizations / other institutes of national importance /design studio, while working at Stage 1. (3) Minimum average ACR grade "A" for last five years (4) Screening cum verification process by a Committee constituted by DTE Office, Mumbai for recommending promotion

SI. No.	Promotion through CAS	Service and Qualification Requirements	Minimum Academic PerformanceRequirements, Annual Confidential Report (ACR) grading and Screening/ Selection Criteria
2.	Lecturer / Workshop Superintendentfro m Stage 2 to Stage 3	 Lecturer / Workshop Superintendent with completed service of five years in Stage 2 (completed service of four years in Stage 2 only for those teachers who complete PhD during Senior to Selection Grade) Teachers in Humanities subjects (such as Physics, Chemistry, Mathematics and English/Communicati on Skill) should be Ph.D. qualified For the teachers who were already placed in Senior Scale Rs. 10000-325-15200 prior to 01-01-2006, the period of five years shall be counted from the date of their placement in the Senior Scale Rs. 10000-325-15200.In other words, the service period rendered in pre- revised Senior Scale Rs. 10000-325-15200 shall be counted for upward movement from Stage 2 to Stage 3. 	 (Relaxation in API score shall be applicable for teachers on deputation to Central/State Govt. bodies as per Sr. No. 50 of Annexure I of GR dt. 03-06-2022, on production of documentary evidence about of such deputation) (2) Completed Short Term Training Programmes / Faculty Development Programmes of total minimum two weeks duration minimum two number of at least one-week duration Short Term Training Programmes / Faculty Development Programmes, approved or conducted by AICTE /Central Govt. /State Govt. /TEQIP /CIILP /ISTE /NITTTR /IIT /DTE /SBTE /University / UGC, PMMMNMTT, IISc, CoA, IIA, SPA, ITPI, NRCs, ARPITs / Research Organizations / other institutes of national importance /design studio, while working at Stage 2. (3) Minimum average ACR grade "A" for last five years (4) Screening cum verification process by a Committee constituted by DTE Office,

SI. No.	Promotion through CAS	Service and Qualification Requirements	Minimum Academic PerformanceRequirements, Annual Confidential Report (ACR) grading and Screening/ Selection Criteria
3	Lecturer / Workshop Superintendentfro m Stage 3to Stage 4	 Lecturer / Workshop Superintendent with three years of completed service in Stage 3 Teacher should be Ph.D. qualified 	 (1) Minimum API scores using PBAS proforma as stipulated by AICTE - (i) Teaching, learning and evaluation related activities (Category I) – 75/year (ii) Co-curricular, extension and profession related activities (Category II) – 15/year (iii) Minimum total average annual score under Categories I and II – 100/year (iv) Research and academic contribution (Category III) – 30/year (90/assessment period) (Relaxation in API score shall be applicable for teachers on deputation to Central/State Govt. bodies as per Sr. No. 50 of Annexure I of GR dt. 03-06-2022, on production of documentary evidence about of such deputation) (2) At least three publications in the entire period as Lecturer, however, an exemption of one publication will be given to M.Phil. holders and an exemption of two publications will be given to Ph.D. holders (3) Completed minimum one number of at least one-week duration Short Term Training Programme / Faculty Development Programme, approved or conducted by AICTE /Central Govt. /State Govt. /TEQIP /CIILP /ISTE /NITTR /IIT /DTE /SBTE /University / UGC, PMMMNMTT, IISc, CoA, IIA, SPA, ITPI, NRCs, ARPITs / Research Organizations / other institutes of national importance /design studio, while working at Stage 3. (4) Minimum average ACR grade "A" for last five years (5) Selection Committee process by a Committee constituted by DTE Office, Mumbai for recommending promotion Percentage distribution of weightage points in the Expert Assessment (Total weightage – 100, minimum required for promotion is 50), as stipulated by AICTE, is as mentioned below – (i) Contribution to Research – 30% (ii) Assessment of domain knowledge and teaching practices – 50%
			(ii) Assessment of domain knowledge and teaching practices – 50% (iii) Interview performance – 20%

SI. No.	Promotion through CAS	Service and Qualification Requirements	Minimum Academic PerformanceRequirements, Annual Confidential Report (ACR) grading and Screening/ Selection Criteria
4	Lecturer Stage 4 / Workshop Superintendent Stage 4 / HOD from Stage 4to Stage 5	 Lecturer/ Workshop Superintendent / HOD, possessing Ph.D. degree in relevant discipline, with three years of completed service in Stage 4 Teacher should have been Ph.D. qualified at the time of CAS promotion to Stage 4 Teacher should have been duly promoted to Stage 4 under CAS after fulfillment of conditions prescribed by AICTE, or should have been directly recruited at Stage 4 as HOD 	 (1) Minimum API scores using PBAS proforma as stipulated by AICTE - (i) Teaching, learning and evaluation related activities (Category I) – 75/year (ii) Co-curricular, extension and profession related activities (Category II) – 15/year (iii) Minimum total average annual score under Categories I and II – 100/year (iv) Research and academic contribution (Category III) – 40/year (120/assessment period) (Relaxation in API score shall be applicable for teachers on deputation to Central/State Govt. bodies as per Sr. No. 50 of Annexure I of GR dt. 03-06-2022, on production of documentary evidence about of such deputation) (2) At least three publications since the period that the teacher is placed in Stage 4 (3) Minimum average ACR grade "A" for last five years (4) Selection Committee process by a Committee constituted by DTE Office, Mumbai for recommending promotion Percentage distribution of weigh tage points in the Expert Assessment (Total weightage – 100, minimum required for promotion is 50), as stipulated by AICTE, is as mentioned below – (i) Contribution to Research – 50% (ii) Assessment of domain knowledge and teaching practices – 30% (iii) Interview performance – 20%

Annual Self- Assessment for the Performance Based Appraisal System (PBAS) For Teachers in Diploma level Institutions Period from 1.04.20---to 31.03.20---<u>PART A - GENERAL INFORMATION</u>

Sr. No.	Particulars		Information to be filled in by the teacher
1	Name (in Block Letters)	:	
2	Maiden Name (in case of female teacher)	:	
3	Name of Department in which you are working at present	:	
4	Name of institute in which you are working at present	:	
5	Current Designation and Pay (Rs.) Please also indicate the current Level (9A/10/11/12/13A1)	:	
6	Date of last promotion	:	
7	Contact No.	:	
8	E mail address	:	
9	Whether acquired any degree or fresh academic qualifications during the year – If yes, give details	:	

Diploma PBAS Format - Page 1 of 24

Sr. No.	Particulars		Information to be filled in by the teacher
10	Academic Staff College Orientation / Refresher Course attended during the year - If yes, give details in the Table below	•	

Name of the Course /Summer School / Winter School	Place	Duration	Sponsoring Agency

PART B - ACADEMIC PERFORMANCE INDICATORS FOR THE YEAR 01-04-20...... TO 31-03-20...... (TO BE FILLED FOR EACHYEAR SEPARATELY)

CATEGORY: I

TEACHING, LEARNING AND EVALUATION RELATED ACTIVITIES DURING THE YEAR

(i) Lectures, Seminars, Tutorials, Practical's, Contact hours

1		claimed)
2		
3		
4		
Image: constraint of the second se		
Image: spectrum Image: spe		
8 100), 1000		
6 (feines		
Grand Total (Total of Sr.No. 1 to 10) X= Y=		
* Lactura (L) Naminar (N) Lutarial (L) Practical (P) Ph D Cantact hours (C)	delines for API calc	
Sr. No. Per	rcentage achieved	API score
Documentary evidence required 1	$0 \le \% < 80$	0
1) Self attested copy of teaching Time Table/s issued to the teacher 2 2) Self structure for the formula formula for the formula for the formula for the formula for	$80 \le \% < 85$	35
2) Self attested copy of summarized attendance record of students for each Sr. No. 3 4	$\frac{85 \le \% < 90}{90 \le \% < 95}$	40 45
	$90 \le \% < 95$ $95 \le \% \le 100$	45 50

Diploma PBAS Format - Page 3 of 24

ii) Lectures or other teaching duties in excess of the AICTE norms

Sr. No.	Period/I	Duration	Substantive Post held during the period (Please mention the post held by Regular	Teaching load per week as per AICTE norms* (hrs/week)	Actual teaching load assigned per week (hrs/week)	No. of lectures or other teaching duties carried out in excess of AICTE	Documentary evidence attached at Page No./s	API claimed by teacher** (Max. 10)	API verified by Screening Committee (Max. 10)	Average API verified by Screening Committee
	From dd-mm-yyyy	To dd-mm-yyyy	Appointment, not the post under CAS promotion)			norms (hrs/week)				
1										age
2										Write the Average API
3										Write
I	*Te	aching contac	t hours of a teach	ner as per AIO	CTE norms		**(Guidelines fo	r API calculatio	n
Sr. No.		Degree lev	rel		Diploma leve	el	Two API for each teaching duties en	· T	· ·	
1	Assistant	Professor	16 hrs/week	Lect	turer	18 hrs/week			eening Committe erent than API o	
2	Associate	Professor	12 hrs/week	Lecturer (Se	enior Scale)	16 hrs/week				
3	Profe	essor	8 hrs/week	Head of D /Lecturer (S	1	14 hrs/week				
4	Director/	Principal	4 hrs/week	Princ	cipal	6 hrs/week				

(iii) Preparation and imparting of a knowledge/ instruction as per curriculum syllabus enrichment by providing additional resources to the Students - Reading / Instructional material consulted and additional knowledge resources provided to students

Sr. No.	Course/Paper/ Subject taught	Resources prescribed by Concerned Authority	Percenta Resourc used out Resourc prescrib by Concern Authori	es es ed ned	API claimed by teacher* (Max. 10)		API claimed by teacher** (Max. 10)	Documentary evidence attached at Page No./s	API verified by Screening Committee (Max. 20)	Average API verified by Screening Committee (Max. 20)
1				č						
2										
3										I
4										ge AH
5										Write the Average API
6										ie Av
7										ite tl
8										Wr
9										
10										
			Total		X =		Y =		Z =	
	1	Guidelines for A	API calcu					entary evidence		
Sr. No.	Percentage of resources used out o resources prescribed by Concerned Authority		0)	Add Res	o. of itional ources vided	**API (Max. 10)	etc. prescribed	ence books, resear by Concerned Au ional resources pr	ithority	
1	$0 \le \% < 50$	0			1	2	1		ening Committee	
2	50≤%<60	4			2	4	(If A	PI verified is diffe	rent than API cla	imed)
3	$60 \le \% < 70$	6			3	6	_			
4	70 ≤ % <80	7			4	8	4			
5	$80 \le \% \le 100$	10			5	10				

Diploma PBAS Format - Page 5 of 24

Sr. No.	Description	Documentary evidence attached at Page No./s	Guidelines for API calculation	API claimed by teacher	API verified by Screening Committee	Remarks of Screening Committee (If API verified is different than API claimed)
1	Preparation of Laboratory Manual – Give details		8 per manual			
2	Development of Resource material/Fresh Reading material - Give details		5 per material			
3	Preparation of Question Bank - Give details		5 per course			
4	Preparation of working notes (block diagrams, numericals, key words etc.) – Give details		4 per course			
5	Updating of courses/Curriculum design-Give details		8 per course			
6	Use of Information & Communication Technology (ICT)– (Such as development of Virtual Laboratory / other activity) Give details		10 per course			
7	Developing and imparting Remedial/Bridge Courses and Counseling modules – Give details		5 per course			
8	Development of participatory Learning modules – Give details		5 per course			
9	Courses in computer assisted teaching/web-based learning, e library skills – Give details		5 per activity			
			Score (Max. 20)			
	nentary evidence required –Any self attested documents s r AND self attested documents substantiating actual dissemina	U	1		<i>U</i> , .	y the

(iv) Use of Participatory and Innovative Teaching- Learning Methodologies, Updating of Subject content, Course improvement etc.

Sr. No.	Nature of Examination duty (End semester examinations/Annual examinations/continuous assessment etc.)	Documentary evidence attached at Page No./s	Guidelines for API calculation	API claimed by teacher	API verified by Screening Committee	Remarks of Screening Committee (If API verified is different than API claimed)
1	College Exam. Officer / Senior supervisor (Theory Exam)/ System Administrator (Online Exam.)/ Member – Flying		10 per responsibility, Max. 10			
2	squad/ Subject Chairman / Coordinator - Give details Question paper Setting / Question Bank preparation at MSBTE level/ Prelim examination at institute level /Efforts for continuous assessment of the students for the course – Give details		10 per responsibility, Max. 10			
3	Question paper Printing in-charge (Theory Exam.) / Junior supervisor (Theory Exam.), Internal Senior Supervisor (Online Exam.)– Give details		5 per responsibility, Max. 10			
4	Term Work assessment/ Oral & Practical Examiner / Answer Sheet Assessment – Give details		5 per responsibility, Max. 10			
5	Any other examination related duty – Give details	TOTAL API S	5 per responsibility, Max. 10			
	mentary evidence required – 1)Self attested copy of Office Ordericate issued by concerned higher authority, if any, about examination	er about assignm	ent of examination	n duty 2)	Self attested cop	y of

v) Examination Duties assigned and performed

CATEGORY- II COCURRICULAR/ EXTENSION AND PROFESSIONAL DEVELOPMENT RELATED ACTIVITIES DURING THE YEAR

Sr. No.	Nature of activity	Documentary evidence attached at Page No./s	Guidelines for API calculation	API claimed by teacher	API verified by Screening Committee	Remarks of Screening Committee (If API verified is different than API claimed)
1	Industrial Visit/ Vacational or industrial training/field visit / Training & Placement activity (other than TPO) / Educational tour – Give details		5 per activity, Max. 10			
2	NSS/NCC / Community work such as values of National Integration, Secularism, Democracy, Socialism, Humanism, Peace, Scientific temper, flood or draught relief, small family norms campaign etc Give details		5 per activity, Max. 10			
3	Blood donation/Tree plantation/Medical check- up/Student counseling – Give details		3 per activity, Max. 10			
4	Annual Social Gathering or Cultural Activities in- charge/Technical event in-charge/ Gymkhana or Sports In- charge / In-charge of Student's Organization or Staff Club – Give details		10 per activity, Max. 10			
5	Any other such activity – Give details		5 per activity, Max. 10			
			score (Max. 20)			
	mentary evidence required – 1) Self attested copy of Office Ord of Certificate issued by concerned higher authority, if any, about su	Ū.		y to the teac	her 2) Self att	ested

(i) Student related co-curricular, extension and field based activities

Diploma PBAS Format - Page 8 of 24

Sr. No.	Nature of activity	Documentary evidence attached at Page No./s	Guidelines for API calculation	API claimed by teacher	API verified by Screening Committee	Remarks of Screening Committee (If API verifiedis different than API claimed)
1	In-charge Principal / Vice Principal / Deputy Director / Dean /HOD / IQAC coordinator / Local Management Committee Member / TPO / Workshop Superintendent/ Library in-charge / Hostel Warden or Rector / Admission in-charge – Give details		10 per activity, Max. 10			
2	In-charge of Co-operative Society / In-charge of campus maintenance or electrical maintenance/ publicity in-charge / Network Administrator / Anti- ragging Squad/ Women's Grievances redressal cell/ Student's grievances redressal cell / Student welfare or counseling or discipline committee - Give details		5 per activity, Max. 10			
3	Annual Magazine in-charge/ Website in-charge / Time Table in-charge/ In-charge of any other Departmental Portfolio / Stock verification Committee/ Mess Food committee/ Member of any committee at institute or departmental level – Give details		5 per activity, Max. 10			
4	Organization of Conference/ Training programmes– Give details		5 per activity, Max. 10			
5	Membership/Activities of professional bodies – Give details		5 per activity, Max. 10			
6	Publication of articles (not covered in Category III) in newspapers, magazines, TV/Radio talks – Give details		5 per activity, Max. 10			
	nentary evidence required – Self attested copy of Office Order a neerned higher authority, if any, about such activity done by the r	about assignment	•			

(ii) Contribution to Corporate Life and Management of the Institution

CATEGORY: III RESEARCH, PUBLICATIONS AND ACADEMIC CONTRIBUTIONSDURING THE YEAR A) Published Papers in Journals

Sr. No.	Title of paper with Page Nos.	Journal	ISSN/ISBN No.	Documentary evidence attached at Page No./s	Whether peer reviewed? Impact Factor, if any	No. of Co- authors	Whether you are the main author?	API claimed by teacher	API verified by Screening Committee	Remarks of Screening Committee (If API verified is different than API claimed)
1										
2										
3										
4										
5										
6										
7										
Docu	mentary evidence requ	ired – 1) Self att	ested copy of f	ull paper publish	ed 2) Self at	tested cop	y about pro	of of Impact F	actor	

Sr.	APIs	Engineering	Faculties of Languages/Humanities/	MaximumPoin
Sr. No.	Aris	Engineering	SocialSciences//Management	ts forUniversityte achers
IIIA	Researchpapers	Refereedjournal*	Refereedjournal*	15/Publication
	publishedin :	Non-refereed butrecognized and reputablejournals and periodicals, having ISBN/ISSN number	Non-refereed butrecognized and reputablejournals and periodicals, having ISBN/ISSN numbers	10/Publication
		Seminar/Conferenceproceedingsas fullpapersetc. (Abstract	Conferenceproceedingsasfullpapersetc.	International
		notbeincluded)	(Abstractnotbeincluded)	10/publication
				National
				5/publication
	Researchpublic ations,books, chapters in	Text or reference bookspublished by international publishers with anestablishedpeerreview system	Text or reference bookspublished by internationalpublishers with anestablishedpeerreview system	50/sole author,10/chapter inaneditedbook
	books otherthanrefere ed journal articles)	Subject Books by National level publisher/ State and Central Govt.publicationswith ISBN/ISSNnumbers.	Subject Books byNationallevelpublisher/State and CentralGovt.publicationswith ISBN/ISSNnumbers.	25/sole author,and 5/chapterineditedbooks
	arucies)	SubjectBooksbyother local publisher with ISBN/ISSNnumber	SubjectBooksbyother local publisher with ISBN/ISSNnumber	15/soleauthor, and
				3/chapterineditedbooks
		Chapterscontributedto editedknowledge based volumes publishedbyInternationalPublishers	Chapters contributed to edited knowledge based volumespublishedby InternationalPublishers	10/chapter
		Chapters in knowledge based volumes by Indian/National levelpublishers with ISBN/ISSNnumbersand with numbers of national and international directories	Chapters in knowledgebased volumes by Indian/National levelpublishers withISBN/ISSNnumbersand with numbers of national and international directories	5/chapter

* Wherever relevant to any specific discipline, the API score for paper in refereed journal would be augmented as follows : (i) Indexed journals – by 5 points, (ii) Papers with Impact Factor between 1 and 2 by 10 points, (iii) Papers with Impact Factor between 2 and 5 by 15 points, (iv) Papers with Impact Factor between 5 and 10 by 25 points.

** If a paper presented in Conference/Seminar is published in the form of Proceedings, the points would accrue for the publication (III (a)) and not under presentation (III (e) (ii))

The API for joint publications will have to be calculated as follows – Of the total score for the relevant category of publication by concerned teacher, the first/Principal author and the corresponding author/supervisor/mentor of the teacher would share equally total score, if the number of authors is more, then the first two authors would share equally 60% of the total points and the remaining authors would share equally 40% of the points.

B) (i) Articles / Chapters published books

Sr. No.	Title with Page Nos.	Book Title, editor and publisher	ISSN/ISBN No.	Documentary evidence attached at Page No./s	Whether peer reviewed?	No. of Co- authors	Whether you are the main author?	API claimed by teacher	API verified by Screening Committee	Remarks of Screening Committee (If API verified is different than API claimed)
1										
2										
3										
4										
5										
6										
7										
Docu	Documentary evidence required – Self attested copy of full Article/Chapter published									

B) (ii) Full papers in Conference Proceedings

Sr. No.	Title with Page Nos.	Details of Conference Publication	ISSN/ISBN No.	ovidonco	No. of Co- authors	Whether you are the main author?	API claimed by teacher	API verified by Screening Committee	Remarks of Screening Committee (If API verified is different than API claimed)	
1										
2										
3										
4										
5										
6										
7										
Documentary evidence required – Self attested copy of full paper published										

B) (iii) Books published as single author or as editor

Sr. No.	Title with Page Nos.	Type of book and Authorship	Publisher and ISSN/ISBN No.	Documentary evidence attached at Page No./s	Whether peer reviewed?	No. of Co- authors	Whether you are the main author?	API claimed by teacher	API verified by Screening Committee	Remarks of Screening Committee (If API verified is different than API claimed)
1										
2										
3										
4										
5										
6										
7										
Docu	mentary evidence requi	red – Self attest	ed copy of cov	er page, index a	nd back page	of the bo	ok publishe	d		

C) Ongoing and Completed Research Projects and Consultancies

(i & ii) Ongoing Projects / Consultancies

Sr. No.	Title	Agency	Period	Grant/Amount Mobilized (Rs. Lakh)	Documentary evidence attached at Page No./s	API claimed by teacher	API verified by Screening Committee	Remarks of Screening Committee (If API verified is different than API claimed)
1								
2								
3								
4								
5								
6								
7								
Docu signe	mentary evidence required – d by Head of Institution	- 1) Self attested c	opy of project s	anction letter from	funding agency 2)	Self attested co	opy of Grant Util	zation Certificate

C)(iii & iv) Completed Projects / Consultancies

Sr. No.	Title	Agency	Period	Grant/ Amount Mobilized (Rs. Lakh)	attached at Page No./s	Whether policy document/ patent as outcome?	API claimed by teacher	API verified by Screening Committee	Remarks of Screening Committee (If API verified is different than API claimed)
1									
2									
3									
4									
5									
6									
7									
Docu signed	mentary evidence requi d by Head of Institution 3	r ed - 1) Self atte) Self attested co	sted copy of propy of policy d	roject sanction le ocument/patent	etter from fundir filed/patent sanc	ng agency 2) setioned	Self attested co	py of Grant Utili	zation Certificate

		Guidelines for API calcula	ation – Category III (C)	
Sr. No.	APIs	Engineering	Faculties ofLanguages/Humanities/ SocialSciences//Management	MaximumPoin ts forUniversityte achers
III C (i)	Sponsored Projects carried out/	Major Projects (Amountmobilized with grants above Rs. 30.0 lakh)	Major Projects (Amount mobilized with grants above Rs.5 lakh)	20 /eachProject
	ongoing	Major Projects (Amountmobilized with grants above Rs. 5.0 lakh to Rs. 30.0 lakh)	Major Projects (Amountmobilized with grants above Rs. 3.0 lakh to Rs. 5.0 lakh)	15 /eachProject
		MinorProjects (Amount mobilized with grants above Rs. 50000 up to Rs. 5.0 lakh)	Minor Projects (Amount mobilized with grants above Rs. 25000 up to Rs. 3.0 lakh)	10/each Project
III C (ii)	Consultancy Projects carried out / ongoing	Amount mobilized with minimum of Rs.3.0 lakh	Amount mobilized with Minimum of Rs. 1.0 lakh	10perevery Rs.3.0 lakh&Rs.1.0 lakh respectively
III C (iii)	Completed projects : Quality Evaluation	Completed project Report (Acceptance from funding agency)	Completed project report(Accepted by funding agency)	20 /each major project and 10/each minor project
III C (iv)	Projects Outcome / Outputs	Major policy document of Govt. bodies at Central and State level	Major policy document of Govt. bodies at Central and State level	50 / each for international level
		Patent/Technology transfer/ Product/Process	Patent/Technology transfer/ Product/Process	30/each national level output or patent

D) Research guidance

Sr. No.	Level	Number Enrolled	Thesis Submitted	Degree Awarded	Documentary evidence attached at Page No./s	API claimed by teacher	API verified by Screening Committee	Remarks of Screening Committee (If API verified is different than API claimed)	
1	M.E./M.Tech./M.Phil.								
2	Ph.D. or equivalent								
2) Ph.	Documentary evidence required – 1) M.E./M.Tech./M.Phil Self attested copy of cover page of thesis submitted and the degree awarded to candidate 2) Ph.D. – Self attested copy of cover page of thesis submitted by candidate and receipt of thesis submission issued by Concerned Authority 3) Ph.D. – Self attested copy of degree awarded/provisional certificate issued to the candidate by Concerned Authority, if any								

		Guidelines for API cal	culation	
Sr. No.	Level	Engineering	Faculties ofLanguages/Humanities/ SocialSciences//Management	MaximumP oints forcollegetea chers
	M.E./ M.Tech. / M.Phil.	Degree awarded only	Degreeawarded only	3 / each candidate
IIID(2)	Ph.D.	Degree awarded only Thesis submitted	Degreeawarded only Thesissubmitted	10 / each candidate 7 / each candidate

F) (i) Training Courses, Teaching-Learning-Evaluation Technology Programmes, Faculty Development Programmes (not less than one week duration)

Sr. No.	Programme	Duration		Organized by	Documentary evidence	API claimed by teacher	API verified by Screening Committee	Remarks of Screening Committee
		From	То		attached at Page No./s		Committee	(If API
		dd-mm-yyyy	dd-mm-yyyy					verified is different than API claimed)
1								
2								
3								
4								
5								
Docu	mentary evidence required –	-Self attested co	py of Course Co	mpletion Certifica	te issued by Conce	erned Authority		

		Guidelines for API calculation		
Sr. No.	APIs	Engineering	Faculties ofLanguages/Humanities/ SocialSciences//Management	MaximumPoint s forcollegeteach ers
	Attended Refresher courses, Methodology work shops, Training, Teaching Learning Evaluation	(a) Not less than two weeksduration	(a) Not less than two weeks duration	20 / each
	Technology Evaluation Programmes, Soft Skills development Programmes, Faculty Development Programmes (Max.: 30 points)	(b) One week duration	(b) One weekduration	10 / each

Sr. No.	Title of the paper presented	Title of Conference /Seminar	Organized by	Whether International/ National/State/ Regional/College or University level	Documentary evidence attached at Page No./s	API claimed by teacher	API verified by Screening Committee	Remarks of Screening Committee (If API verified is different than API claimed)
1								
2								
3								
4								
5								
Docu	mentary evidence require	d – Self attested co	py of full paper pres	sented/certificate abo	out paper presentat	ion issued by C	Concerned Autho	rity

E) (ii) Papers presented in Conferences, Seminars, Workshops, Symposia

Guidelines for API calculation									
Sr. No.	APIs	Engineering	Faculties ofLanguages/Humanities/ SocialSciences//Management	MaximumPoint s forcollege teachers					
III E (ii)	Paper	Participation and presentation of research	Participation and presentation of research						
	inconferences/Seminars/Workshops***etc.	paper(posterororal)in	paper(posterororal)in						
		a) International	a) International	15 / each					
		b) National	b) National	10 / each					
		c) Regional/State level	c)Regional/State level	5 / each					
		d) Local/college level	d)Local-University/college level	3 / each					

Diploma PBAS Format - Page 20 of 24

E) (iii) Invited Lectures and Chairmanships at National or International Conference/Seminar etc.

Sr. No.	Title of Lecture / Academic Session	Title of Conference /Seminar etc.	Organized by	Whether International/ National level	Documentary evidence attached at Page No./s	API claimed by teacher	API verified by Screening Committee	Remarks of Screening Committee (If API verified is different than API claimed)
1								
2								
3								
4								
5								
Docu Autho	mentary evidence require ority	ed –1) Self attested	copy of invitation le	tter from the Organi	zers 2) Self atteste	d copy of Certi	ficate issued by	Concerned

	Guidelines for API calculation								
Sr. No.	APIs	Engineering	Faculties ofLanguages/Humanities/ SocialSciences//Management	MaximumPoint s forcollege teachers					
III(E) (iii)	Invited lectures or presentations for Conferences / Symposia	International level	International level	10 / each					
		Nationallevel	Nationallevel	5 / each					

Sr. No.	Criteria	2014-15	2015-16	2016-17	2017-18	2018-19	Total API Score for the Assessment Period*	Annual Average API Score for the Assessment Period*
Ι	Teaching, Learning and Evaluation Related activities -							
	Maximum API allocated – 125/ye							
	Minimum Average API required – 75/ye							
Π	Co-curricular, Extension and Professional Developme	nt						
	related activities -							
	Maximum API allocated – 50/ye							
	Minimum Average API required – 15/ye	ar						
	Total (I + II) -							
	Maximum API allocated – 175/ye							
	Minimum Average API required –100/ye	ar						
III	Research and Academic Contributions -							
	Minimum API required for CAS promotion							
	Promotion from Minimum Average API required							
	Stage 1 to Stage 2 10/year							
	40/assessment period							
	Stage 2 to Stage 3 20/year							
	100/assessment period							
	Stage 3 to Stage 4 30/year							
	90/assessment period							
	Stage 4 to Stage 5 40/year							
	120/assessment period							
	*Det	ails of Assessme	nt Period to b	e considered	for CAS pror	notions		
Sr.No					oe considered			
1	08-11-2015 to 31-03-2016		01-04-2014	to 31-03-2015	5 - (One year	API score is a	required)	
2	01-04-2016 to 31-03-2017				- (Two year'			
3	01-04-2017 to 31-03-2018		01-04-2014 t	o 31-03-2017	- (Three year'	s API score is	s required)	
4	01-04-2018 to 31-03-2019		01-04-2014	to 31-03-2018	- (Four year'	s API score is	required)	
5	01-04-2019 to 10-09-2019				- (Five year's			
	ration by the applicant teacher – I hereby declare I scores claimed by me are subject to verification and fi				API calculation	n while filling	the PBAS format a	andunderstand that

Summary of API Scores as claimed by the teacher (to be filled by applicant teacher)

Name and Signature of applicant teacher

Diploma PBAS Format - Page 22 of 24

Forwarding by Head of Department and Head of Institution									
We hereby forward the application for CAS promotion of Shri./Smt									
working asin this Institute for further necessary action. It is hereby certified that the information furnished by the applicant is as per the records available in our office. The application form together with all documents in support of the claims made by applicant teacher including the PBAS formats required if applicable have been bound together in a single Spiral Bound volume and all the pages in the volume have been numbered serially.									
Name and Signature of Head of Department Name and Signature of Head of Institution									
Office Seal									
Place and date Place and date									

Sr. No.		2014-15	2015-16	2016-17	2017-18	2018-19	Total API Score for the Assessment Period*	Annual Average API Score for the Assessment Period*	
Ι	Teaching, Learning a								
		Maximum API allocated - 125/year							
		num Average API required – 75/year							
П		nsion and Professional Development							
	related activities -								
		Maximum API allocated – 50/year							
		num Average API required – 15/year							
	Total (I + II) -								
		Maximum API allocated – 175/year							
		um Average API required – 100/year							
III	Research and Acader								
		red for CAS promotion							
	Promotion from	Minimum Average API required							
	Stage 1 to Stage 2	10/year							
		40/assessment period							
	Stage 2 to Stage 3	20/year							
	~ ~ ~ /	100/assessment period							
	Stage 3 to Stage 4	30/year							
	~	90/assessment period							
	Stage 4 to Stage 5	40/year							
		120/assessment period				•			
-					Screening C				
It	is hereby	certified that we have		ed the	API so	cores cla	imed by	the appli	cant teacher
		ugh the documentary evidence atta							
		ove Table. Reasons for variation in						-	
As	per the Summary of	of finalized API score, he/she is -						from date	•••••
		(Please write Re	ecommended	/Not Recom	mended and	date of eligib	ility)		
(Nan	ne and Signature of	f Committee Member 1) (Nam	e and Signa	ture of Com	mittee Mem	ber 2) (Na	me and Sig	nature of Commi	ittee Member 3)

Directorate of Technical Education, Maharashtra State

Annual Self- Assessment for the Performance Based Appraisal System (PBAS) For teachers in Degree level Institutions Period from 1.04.20---to 31.03.20---<u>PART A - GENERAL INFORMATION</u>

Sr.	Particulars	Information to be filled in by the teacher
No.		
1	Name (in Block Letters)	:
2	Maiden Name (in case of female teacher)	:
3	Name of Department in which you are working at present	:
4	Name of institute in which you are working at present	:
5	Current Designation and Pay (Rs.) Please also indicate the current Level (10/11/12/13A1)	:
6	Date of last promotion	:
7	Contact No.	:
8	Email address	:
9	Whether acquired any degree or fresh academic qualifications during the year – If yes, give details	:

Sr. No.	Particulars		Information to be filled in by the teacher
10	Academic Staff College Orientation / Refresher Course attended during the year - If yes, give details in the Table below	:	

Name of the Course /Summer School / Winter School	Place	Duration	Sponsoring Agency

PART B - ACADEMIC PERFORMANCE INDICATORS FOR THE YEAR 01-04-20...... TO 31-03-20...... (TO BEFILLED FOR EACHYEAR SEPARATELY)

CATEGORY: I

TEACHING, LEARNING AND EVALUATION RELATED ACTIVITIES DURING THE YEAR

(i) Lectures, Seminars, Tutorials, Practicals, Course Work

Sr. No.	Course/ Paper / Subject taught		Mode of teaching* L/S/T/P/C	No. of contact hours targeted	No. of Contact hours actually engaged	Documentary evidence attached at Page No./s	Percentage contact hours achieved(%)	API claimed by teacher (Max. 50)	API verified by Screening Committee (Max. 50)	Remarks of Screening Committee (If API verified is different than API claimed)
1										
2										
3							S			
4							2 digits			
5							led to	f AP		
6							$= ((Y \div X) \times 100), \text{ to be rounded to } $ See guidelines for calculation of API	ttion o		
7							100), to be rounded to 2	alcula		
8							100),	s for c		
9							×(X·	deline		
10							÷ ((X ÷	e gui		
	Grand Total (T	Cotal of Si	r.No. 1 to 10)	X=	Y=		П	Ň		
* Lec	ture (L), Semina	r (S). Tut	orial (T). Prac	tical (P). P	h.D. Course	Work (C.)			s for API calc	
	, sente (12), seminar					() (in (C))	Sr. No.		ge achieved	API score
			nentary evide				1	_	2∕₀ < 80	0
	attested copy of te						2		$0 \le \% < 85$ 35	
2) Self	attested copy of su	ummarize	d attendance re	ecord of stu	dents for each	n Sr. No.	3 4		% <90	40
									% <95	45
							5	$95 \leq 9$	$100 \leq 100$	50

Degree PBAS Format - Page 3 of 24

ii) Lectures or other teaching duties in excess of the AICTE norms

Sr. No.	Period/I	Duration	Substantive Post held during the period (Please mention the post held by Regular	Teaching load per week as per AICTE norms* (hrs/week)	Actual teaching load assigned per week (hrs/week)	No. of lectures or other teaching duties carried out in excess of AICTE	Documentary evidence attached at Page No./s	API claimed by teacher** (Max. 10)	API verified by Screening Committee (Max. 10)	Average API verified by Screening Committee	
	From dd-mm-yyyy	To dd-mm-yyyy	Appointment, not the post under CAS promotion)			norms (hrs/week)					
1										rage	
2										Write the Average API	
3										Write	
I	*Te	aching contac	ct hours of a teach	ner as per AIO	CTE norms	1	**(Guidelines fo	r API calculatio	n	
Sr. No.		Degree lev	rel		Diploma leve	el	Two API for each one hour (per week) of Lectures or other teaching duties engaged in excess of the AICTE norms				
1	Assistant	Professor	16 hrs/week	Lect	turer	18 hrs/week	Remarks of Screening Committee (If API verified is different than API claimed)				
2	Associate	Professor	12 hrs/week	Lecturer (Se	enior Scale)	16 hrs/week					
3	Professor 8 hrs/week		Head of D /Lecturer (S	epartment Sel. Grade)	14 hrs/week						
4	Director/Principal 4 hrs/week		Princ	cipal	6 hrs/week						

(iii) Preparation and imparting of a knowledge/ instruction as per curriculum&syllabus enrichment by providing additional resources to the students - Reading / Instructional material consulted and additional knowledge resources provided to students

Sr. No.	Subject taught	Resources prescribed by University or Concerned Authority	Percenta Resource used out Resource prescribe by Unive or Concern Authorit	es (es 1 ed (ersity	API claimed by teacher* (Max. 10)	Additional Resources provided	API claimed by teacher** (Max. 10)	Documentary evidence attached at Page No./s	API verified by Screening Committee (Max. 20)	Average API verified by Screening Committee (Max. 20)
1										
2										
3										E
4										ge Al
5										Write the Average API
6										he A
7										rite t
8										Ŵ
9										
10										
			Total	2	X =		Y =		Z =	
		Guidelines for	API calcul	lation				entary evidence		
Sr.	Percentage of	*API		No.		**API		ence books, resear		
No.	resources used out of	× ×	0)	Addit		(Max. 10)		by University/Co		
	resources prescribed			Resou			2)List of addit	ional resources pr	ovided by the tea	cher
1	by university			prov	ided	2		Demesiles of Com		
	$0 \le \% < 50$	0		1	-	2		PI verified is diffe	ening Committee rent than API cla	
2 3	$50 \le \% < 60 \\ 60 \le \% < 70$	4 6		2		4 6	-	i i vernicu is ullit	- che unun mi i Ud	
3 4	$\frac{60 \le \% < 70}{70 \le \% < 80}$	6		<u> </u>		8	-			
5	$80 \le \% \le 100$	10		5		10	-			

Degree PBAS Format - Page 5 of 24

Sr. No.	Description	Documentary evidence attached at Page No./s	Guidelines for API calculation	API claimed by teacher	API verified by Screening Committee	Remarks of Screening Committee (If API verified is different than API claimed)
1	Preparation of Laboratory Manual – Give details		8 per manual			
2	Development of Resource material/Fresh Reading material - Give details		5 per material			
3	Preparation of Question Bank - Give details		5 per course			
4	Preparation of working notes (block diagrams, numerical, key words etc.) – Give details		4 per course			
5	Updating of courses/Curriculum design-Give details		8 per course			
6	Use of Information & Communication Technology (ICT) – (Such as development of Virtual Laboratory / other activity) Give details		10 per course			
7	Developing and imparting Remedial/Bridge Courses and Counseling modules – Give details		5 per course			
8	Development of participatory Learning modules – Give details		5 per course			
9	Courses in computer assisted teaching/web-based learning, e library skills – Give details		5 per activity			
		TOTAL API S	core (Max. 20)			

(iv) Use of Participatory and Innovative	e Teaching- Le	earning Methodologie	s. Updating of Subject conten	t. Course improvement etc.
(~, - F	· · · · · · · · · · · · · · · · · · ·

Documentary evidence required –Any self attested documents substantiating the development and use of such methodology by the teacher AND self attested documents substantiating actual dissemination of the material developed by teacher amongst the students

Sr. No.	Nature of Examination duty (End semester examinations/Annual examinations/continuous assessment etc.)	Documentary evidence attached at Page No./s	Guidelines for API calculation	API claimed by teacher	API verified by Screening Committee	Remarks of Screening Committee (If API verified is different than API claimed)
1	College Exam.Officer / Senior supervisor (Theory Exam)/ System Administrator (Online Exam.)/ Member – Flying squad/ Subject Chairman / Coordinator - Give details		10 per responsibility, Max. 10			· · · · · ·
2	Question paper Setting / Question Bank preparation at University level/ Prelim examination at institute level /Efforts for continuous assessment of the students for the course – Give details		10 per responsibility, Max. 10			
3	Question paper Printing in-charge (Theory Exam.) / Junior supervisor (Theory Exam.), Internal Senior Supervisor (Online Exam.)– Give details		5 per responsibility, Max. 10			
4	Term Work assessment/ Oral & Practical Examiner / Answer Sheet Assessment – Give details		5 per responsibility, Max. 10			
5	Any other examination related duty – Give details		5 per responsibility, Max. 10			
		TOTAL API S				
	mentary evidence required – 1)Self attested copy of Office Ord icate issued by concerned higher authority, if any, about examination	•		n duty 2)	Self attested copy	y of

v) Examination Duties assigned and performed

CATEGORY- II COCURRICULAR/ EXTENSION AND PROFESSIONAL DEVELOPMENT RELATED ACTIVITIES DURING THE YEAR

Sr. No.	Nature of activity	Documentary evidence attached at Page No./s	Guidelines for API calculation	API claimed by teacher	API verified by Screening Committee	Remarks of Screening Committee (If API verified is different than API claimed)
1	Industrial Visit/ Vacationalor industrial training/field visit / Training & Placement activity (other than TPO) / Educational tour – Give details		5 per activity, Max. 10			
2	NSS/NCC / Community work such as values of National Integration, Secularism, Democracy, Socialism, Humanism, Peace, Scientific temper, flood or draught relief, small family norms campaign etc Give details		5 per activity, Max. 10			
3	Blood donation/Tree plantation/Medical check- up/Student counseling – Give details		3 per activity, Max. 10			
4	Annual Social Gathering or Cultural Activities in- charge/Technical event in-charge/ Gymkhana or Sports In- charge / In-charge of Student's Organization or Staff Club – Give details		10 per activity, Max. 10			
5	Any other such activity – Give details		5 per activity, Max. 10			
			score (Max. 20)			
	mentary evidence required – 1) Self attested copy of Office Ord of Certificate issued by concerned higher authority, if any, about su	Ū.		y to the teac	her 2) Self att	ested

(i) Student related co-curricular, extension and field based activities

Degree PBAS Format - Page 8 of 24

Sr. No.	Nature of activity	Documentary evidence attached at Page No./s	Guidelines for API calculation	API claimed by teacher	API verified by Screening Committee	Remarks of Screening Committee (If API verifiedis different than API claimed)
1	In-charge Principal / Vice Principal / Deputy Director / Dean /HOD / IQAC coordinator / Local Management Committee Member / TPO / Workshop Superintendent/ Library in-charge / Hostel Warden or Rector / Admission in-charge – Give details		10 per activity, Max. 10			
2	In-charge of Co-operative Society / In-charge of campus maintenance or electrical maintenance/ publicity in-charge / Network Administrator / Anti- ragging Squad/ Women's Grievances redressal cell/ Student's grievances redressal cell / Student welfare or counseling or discipline committee - Give details		5 per activity, Max. 10			
3	Annual Magazine in-charge/ Website in-charge / Time Table in-charge/ In-charge of any other Departmental Portfolio / Stock verification Committee/ Mess Food committee/ Member of any committee at institute or departmental level – Give details		5 per activity, Max. 10			
4	Organization of Conference/ Training programmes– Give details		5 per activity, Max. 10			
5	Membership/Activities of professional bodies – Give details		5 per activity, Max. 10			
6	Publication of articles (not covered in Category III) in newspapers, magazines, TV/Radio talks – Give details		5 per activity, Max. 10			
	nentary evidence required – Self attested copy of Office Order neerned higher authority, if any, about such activity done by the r	about assignmen	•			

(ii) Contribution to Corporate Life and Management of the Institution

CATEGORY: III RESEARCH, PUBLICATIONS AND ACADEMIC CONTRIBUTIONSDURING THE YEAR A) Published Papers in Journals

Sr. No.	Title of paper with Page Nos.	Journal	ISSN/ISBN No.	Documentary evidence attached at Page No./s	Whether peer reviewed? Impact Factor, if any	No. of Co- authors	Whether you are the main author?	API claimed by teacher	API verified by Screening Committee	Remarks of Screening Committee (If API verified is different than API claimed)
1										
2										
3										
4										
5										
6										
7										
Docu	imentary evidence requ	ired – 1) Self att	ested copy of f	ull paper publish	ed 2) Self at	tested cop	y about pro	of of Impact F	actor	

		Guidelines for API calculation- Cate				
Sr. No.	APIs	Engineering	Faculties ofLanguages/Humanities/ SocialSciences//Management	MaximumPoin ts forUniversityte achers		
IIIA	Researchpapers	Refereedjournal*	Refereedjournal*	15/Publication		
	publishedin :	Non-refereed butrecognized and reputablejournals and periodicals, having ISBN/ISSN number	Non-refereed butrecognized and reputablejournals and periodicals, having ISBN/ISSN numbers	10/Publication		
		Seminar/Conferenceproceedingsas fullpapersetc. (Abstract	Conferenceproceedingsasfullpapersetc.	International		
		notbeincluded)	(Abstractnotbeincluded)	10/publication		
	Researchpublic ations,books, chapters in	Text or reference bookspublished by international publishers with anestablishedpeerreview system	Text or reference bookspublished by internationalpublishers with anestablishedpeerreview system	50/sole author,10/chapter inaneditedbook		
	books otherthanrefere ed journal articles)	Subject Books by National level publisher/ State and Central Govt.publicationswith ISBN/ISSNnumbers.	Subject Books byNationallevelpublisher/State and CentralGovt.publicationswith ISBN/ISSNnumbers.	25/sole author,and 5/chapterineditedbooks		
		SubjectBooksbyother local publisher with ISBN/ISSNnumber	SubjectBooksbyother local publisher with ISBN/ISSNnumber	15/soleauthor, and 3/chapterineditedbooks		
		Chapterscontributedto editedknowledge based volumes publishedbyInternationalPublishers	Chapters contributed to edited knowledge based volumespublishedby InternationalPublishers	10/chapter		
		Chapters in knowledge based volumes by Indian/National levelpublishers with ISBN/ISSNnumbers and with numbers of national and international directories	Chapters in knowledgebased volumes Indian/National levelpublishers withISBN/ISSNnumbersand with numbers of national and international directories	5/chapter		

* Wherever relevant to any specific discipline, the API score for paper in refereed journal would be augmented as follows : (i) Indexed journals – by 5 points, (ii) Papers with Impact Factor between 1 and 2 by 10 points, (iii) Papers with Impact Factor between 2 and 5 by 15 points, (iv) Papers with Impact Factor between 5 and 10 by 25 points.

** If a paper presented in Conference/Seminar is published in the form of Proceedings, the points would accrue for the publication (III (a)) and not under presentation (III (e) (ii))

The API for joint publications will have to be calculated as follows – Of the total score for the relevant category of publication by concerned teacher, the first/Principal author and the corresponding author/supervisor/mentor of the teacher would share equally total score, if the number of authors is more, then the first two authors would share equally 60% of the total points and the remaining authors would share equally 40% of the points.

B) (i) Articles / Chapters published books

Sr. No.	Title with Page Nos.	Book Title, editor and publisher	ISSN/ISBN No.	Documentary evidence attached at Page No./s	Whether peer reviewed?	No. of Co- authors	Whether you are the main author?	API claimed by teacher	API verified by Screening Committee	Remarks of Screening Committee (If API verified is different than API claimed)
1										
2										
3										
4										
5										
6										
7										
Docu	mentary evidence requi	ired – Self attest	ed copy of full	Article/Chapter	published		1			

B) (ii) Full papers in Conference Proceedings

Sr. No.	Title with Page Nos.	Details of Conference Publication	ISSN/ISBN No.	ovidonco	No. of Co- authors	Whether you are the main author?	API claimed by teacher	API verified by Screening Committee	Remarks of Screening Committee (If API verified is different than API claimed)
1									
2									
3									
4									
5									
6									
7									
Docu	mentary evidence requi	red – Self attest	ed copy of full	paper published	1				

B) (iii) Books published as single author or as editor

Sr. No.	Title with Page Nos.	Type of book and Authorship	Publisher and ISSN/ISBN No.	Documentary evidence attached at Page No./s	Whether peer reviewed?	No. of Co- authors	Whether you are the main author?	API claimed by teacher	API verified by Screening Committee	Remarks of Screening Committee (If API verified is different than API claimed)
1										
2										
3										
4										
5										
6										
7										
Docu	mentary evidence requi	red – Self attest	ed copy of cov	er page, index a	nd back page	of the bo	ok publishe	d		

C) Ongoing and Completed Research Projects and Consultancies

(i& ii) Ongoing Projects / Consultancies

Sr. No.	Title	Agency	Period	Grant/Amount Mobilized (Rs. Lakh)	Documentary evidence attached at Page No./s	API claimed by teacher	API verified by Screening Committee	Remarks of Screening Committee (If API verified is different than API claimed)
1								
2								
3								
4								
5								
6								
7								
Docu signe	mentary evidence required – d by Head of Institution	- 1) Self attested c	opy of project s	anction letter from	funding agency 2)	Self attested c	opy of Grant Util	ization Certificate

C)(iii & iv) Completed Projects / Consultancies

Sr. No.	Title	Agency	Period	Grant/ Amount Mobilized (Rs. Lakh)	attached at Page No./s	Whether policy document/ patent as outcome?	API claimed by teacher	API verified by Screening Committee	Remarks of Screening Committee (If API verified is different than API claimed)
1									
2									
3									
4									
5									
6									
7									
Docu signed	mentary evidence requir d by Head of Institution 3)	ed - 1) Self attend Self attested co	sted copy of propy of policy de	oject sanction le ocument/patent	etter from fundir filed/patent sanc	ng agency 2) setioned	Self attested co	py of Grant Utili	zation Certificate

		Guidelines for API calcula	ation – Category III (C)	
Sr. No.	APIs	Engineering	Faculties ofLanguages/Humanities/ SocialSciences//Management	MaximumPoin ts forUniversityte achers
III C (i)	Sponsored Projects carried out/	Major Projects (Amountmobilized with grants above Rs. 30.0 lakh)	Major Projects (Amount mobilized with grants above Rs.5 lakh)	20 /eachProject
	ongoing	Major Projects (Amountmobilized with grants above Rs. 5.0 lakh to Rs. 30.0 lakh)	Major Projects (Amountmobilized with grants above Rs. 3.0 lakh to Rs. 5.0 lakh)	15 /eachProject
		MinorProjects (Amount mobilized with grants above Rs. 50000 up to Rs. 5.0 lakh)	Minor Projects (Amount mobilized with grants above Rs. 25000 up to Rs. 3.0 lakh)	10/each Project
III C (ii)	Consultancy Projects carried out / ongoing	Amount mobilized with minimum of Rs.3.0 lakh	Amount mobilized with Minimum of Rs. 1.0 lakh	10perevery Rs.3.0 lakh&Rs.1.0 lakh respectively
III C (iii)	Completed projects : Quality Evaluation	Completed project Report (Acceptance from funding agency)	Completed project report(Accepted by funding agency)	20 /each major project and 10/each minor project
III C (iv)	Projects Outcome / Outputs	Major policy document of Govt. bodies at Central and State level	Major policy document of Govt. bodies at Central and State level	50 / each for international level
		Patent/Technology transfer/ Product/Process	Patent/Technology transfer/ Product/Process	30/each national level output or patent

D) Research guidance

Sr. No.	Level	Number Enrolled	Thesis Submitted	Degree Awarded	Documentary evidence attached at Page No./s	API claimed by teacher	API verified by Screening Committee	Remarks of Screening Committee (If API verified is different than API claimed)			
1	M.E./M.Tech./M.Phil.										
2	Ph.D. or equivalent										
2) Ph.	Documentary evidence required – 1) M.E./M.Tech./M.Phil Self attested copy of cover page of thesis submitted and the degree awarded to candidate 2) Ph.D. – Self attested copy of cover page of thesis submitted by candidate and receipt of thesis submission issued by Concerned Authority 3) Ph.D. – Self attested copy of degree awarded/provisional certificate issued to the candidate by Concerned Authority, if any										

		Guidelines for API cal	culation	
Sr. No.	Level	Engineering	Faculties ofLanguages/Humanities/ SocialSciences//Management	MaximumP oints forcollegetea chers
	M.E./ M.Tech. / M.Phil.	Degree awarded only	Degreeawarded only	3 / each candidate
IIID(2)	Ph.D.	Degree awarded only Thesis submitted	Degreeawarded only Thesissubmitted	10 / each candidate 7 / each
				candidate

F) (i) Training Courses, Teaching-Learning-Evaluation Technology Programmes, Faculty Development Programmes (not less than one week duration)

Sr. No.	Programme	Dur	ation	Organized by	Documentary evidence	API claimed by teacher	API verified by Screening Committee	Remarks of Screening
		From	То		attached at Page No./s		Committee	Committee (If API
		dd-mm-yyyy	dd-mm-yyyy					verified is different than API claimed)
1								
2								
3								
4								
5								
Docu	nentary evidence required –	-Self attested co	py of Course Co	mpletion Certifica	te issued by Conce	erned Authority		

	Guidelines for API calculation							
Sr. No.	APIs	Engineering	Faculties ofLanguages/Humanities/ SocialSciences//Management	MaximumPoint s forcollegeteach ers				
	Attended Refresher courses, Methodology work □ shops, Training, Teaching□Learning□ Evaluation	(a) Not less than two weeksduration	(a) Not less than two weeks duration	20 / each				
	Technology Evaluation Programmes, Soft Skills development Programmes, Faculty Development Programmes(Max.: 30 points)	(b) One week duration	(b) One weekduration	10 / each				

Sr. No.	Title of the paper presented	Title of Conference /Seminar	Organized by	Whether International/ National/State/ Regional/College or University level	Documentary evidence attached at Page No./s	API claimed by teacher	API verified by Screening Committee	Remarks of Screening Committee (If API verified is different than API claimed)		
1										
2										
3										
4										
5										
Docui	Documentary evidence required – Self attested copy of full paper presented/certificate about paper presentation issued by Concerned Authority									

E) (ii) Papers presented in Conferences, Seminars, Workshops, Symposia

	Guidelines for API calculation								
Sr. No.	APIs	SocialSciences//Management						MaximumPoint s forcollege teachers	
III E (ii)	Paper	Participation and presentation of research	Participation and presentation of research						
	inconferences/Seminars/Workshops***etc.	paper(posterororal)in	paper(posterororal)in						
		a) International	a) International	15 / each					
		b) National	b) National	10 / each					
		c) Regional/State level	c)Regional/State level	5 / each					
		d) Local-University/college level	d)Local-University/college level	3 / each					
** If a pa	d) Local-University/college level d)Local-University/college level 3 ** If a paper presented in Conference/Seminar is published in the form of Proceedings, the points would accrue for the publication (III (a)) and not under presentation (III (a))								

E) (iii) Invited Lectures and Chairmanships at National or International Conference/Seminar etc.

Sr. No.	Title of Lecture / Academic Session	Title of Conference /Seminar etc.	Organized by	Whether International/ National level	Documentary evidence attached at Page No./s	API claimed by teacher	API verified by Screening Committee	Remarks of Screening Committee (If API verified is different than API claimed)
1								
2								
3								
4								
5								
Docu Autho	mentary evidence require prity	ed −1) Self attested	copy of invitation le	tter from the Organi	zers 2) Self attester	d copy of Certi	ficate issued by	Concerned

	Guidelines for API calculation							
Sr. No.	APIs	Engineering	Faculties of Languages/Humanities/ Social Sciences//Management	Maximum Points for college teachers				
III(E) (iii)	Invited lectures or presentations for Conferences / Symposia	International level	International level	10 / each				
		National level	National level	5 / each				

Sr. No.	Criteria	2014-15	2015-16	2016-17	2017-18	2018-19	Total API Score for the Assessment Period*	Annual Average API Score for the Assessment Period*
Ι	Teaching, Learning and Evaluation Related activities -							
	Maximum API allocated – 125/yea							
	Minimum Average API required – 75/yea							
Π	Co-curricular, Extension and Professional Development	t						
	related activities -							
	Maximum API allocated – 50/yea							
	Minimum Average API required – 15/yea	r						
	Total (I + II) -							
	Maximum API allocated – 175/yea	r						
	Minimum Average API required –100/yea	r						
III	Research and Academic Contributions -							
	Minimum API required for CAS promotion							
	Promotion from Minimum Average API required							
	Stage 1 to Stage 2 5/year							
	20/assessment period							
	Stage 2 to Stage 3 10/year							
	50/assessment period				·			
	Stage 3 to Stage 4 15/year							
	45/assessment period							
	Stage 4 to Stage 5 20/year							
	60/assessment period				· ·			
	*Deta	ils of Assessme	nt Period to b	e considered	for CAS pron	notions		
Sr.No	b. Effective date of CAS promotion				e considered			
1	08-11-2015 to 31-03-2016		01-04-2014	to 31-03-201	5 - (One year	API score is r	required)	
2	01-04-2016 to 31-03-2017		01-04-2014	to 31-03-2016	- (Two year's	s API score is	required)	
3	01-04-2017 to 31-03-2018		01-04-2014	0 31-03-2017	-(Three year'	s API score is	required)	
4	01-04-2018 to 31-03-2019				- (Four year'			
5	01-04-2019 to 10-09-2019							
	ration by the applicant teacher – I hereby declare to Verification and fin				API calculation	n while filling	the PBAS format a	andunderstand that

Summary of API Scores as claimed by the teacher (to be filled by applicant teacher)

Name and Signature of applicant teacher

Degree PBAS Format - Page 22 of 24

Forwarding by Head of Department and Head of Institution							
We hereby forward the application for CAS promotion of Shri./Smt							
Name and Signature of Head of Department	Name and Signature of Head of Institution Office Seal						
Place and date P	Place and date						

Sr. No.		Criteria	2014-15	2015-16	2016-17	2017-18	2018-19	Total API Score for the Assessment Period*	Annual Average API Score for the Assessment Period*
Ι	Teaching, Learning a	and Evaluation Related activities -							
		Maximum API allocated – 125/year							
		num Average API required – 75/year							
II		nsion and Professional Development							
	related activities -								
		Maximum API allocated – 50/year							
		num Average API required – 15/year							
	Total (I + II) -								
		Maximum API allocated – 175/year							
		um Average API required – 100/year							
III	Research and Acader								
		red for CAS promotion							
	Promotion from	Minimum Average API required							
	Stage 1 to Stage 2	5/year							
	Stage 2 to Stage 3	20/assessment period 10/year							
	Stage 2 to Stage 5	50/assessment period							
	Stage 3 to Stage 4	15/year							
	0 0	45/assessment period							
	Stage 4 to Stage 5	20/year							
		60/assessment period							
			Recomme	ndations of	Screening C	ommittee			
It	is hereby Shri/Smt	certified that we have	ve verifie	ed the	API so	cores cla	imed by	the appli	cant teacher
hv		ugh the documentary evidence atta	ched by the	 annlicant her	ewith Δfter	due verificat	ion from all	documents we ha	we finalized the
		ove Table. Reasons for variation in							
		of finalized API score, he/she is -							
AS	per the Summary (n om uate	••••
		(Please write Re	ecommended	inot kecomi	nended and (uate of eligib	iiity)		
(Nan	ne and Signature of	Committee Member 1) (Name	e and Signat	ure of Com	nittee Meml	ber 2) (Na	me and Sigr	nature of Commit	ttee Member 3)

Summary of API Scores verified and finalized by the Screening Committee (to be filled by Screening Committee)